

## **METHOD OF TRANSFORMING JAVA BYTECODE INTO A DIRECTLY INTERPRETABLE COMPRESSED FORMAT**

### **BACKGROUND OF THE INVENTION**

#### **5 1. Field of the Invention**

The present invention relates to data processing systems and, more particularly, to a process for producing compressed Java Jar files including byte code which remains directly interpretable by a Java virtual machine.

10

#### **2. Description of the Related Art**

Programs written in the Java programming language are compiled into class files and are typically grouped together by placing them in an archive file known as a Java Archive (Jar) file.

15 These Jar files contain all of the class files generated by compiling the application source code. When the applications are interpreted many additional classes are typically required. These classes come from the Java runtime library. For embedded systems, such as television set top boxes, cell phones, PDA's and the like that support  
20 the interpretation of Java code, the classes that make up the Java runtime library are often built into the device and reside in some form of non-volatile memory such as Flash memory. Applications, on the other hand, are downloaded into the device over a network at the time the user wishes to execute them.

25 A problem faced by embedded systems developers is that many of these devices have severely constrained memory resources, and it is a serious challenge to get the required Java libraries and applications to fit into available memory. This problem is exacerbated by the fact that Java class files are large and not  
30 particularly efficient representations. Java employs runtime binding which requires that the class files contain a great deal of

symbolic information in the form of strings. When a group of class files are combined into a Jar file, there will be many redundant copies of the same string information.

Two techniques are in common usage to combat this problem:  
5 jar file compression, and obfuscation. The jar file format supports the use of zip type data compression on individual files within the jar. Such compressed jars are typically on the order of 50% of the size of an uncompressed jar. The drawback of using compressed jars is that they cannot be executed without first decompressing  
10 them. This means that the device must have enough memory available to hold the compressed jar, in addition to uncompressed copies of each referenced class. Hence such compressed jars are useful for reducing the bandwidth required to transmit an application jar over a network, but do not really help with reducing  
15 device memory requirements. Obfuscation involves the automated modification of class, method, and field names from the original names employed by the application developers to arbitrary names. Obfuscation was originally employed to make reverse engineering Java applications more difficult since the arbitrary names make it  
20 much harder to understand the code. However, if the arbitrary names are made as short as possible, the application Jar is reduced in size. The reduction in size achieved by traditional obfuscation can be on the order of 15% to 20% for large applications. Unlike compressed jars, obfuscated jars are directly executable. The small  
25 size reduction achieved, however, is of limited use.

## SUMMARY OF THE INVENTION

A method processing a Java Archive (JAR) file to provide a application file adapted for a target environment in accordance  
30 with one embodiment of the invention comprises removing from the JAR file at least a portion of information not necessary for running

the application; mapping at least one of application defined class, field and method names to shorter names; and mapping at least one of target environment defined class, field and method names to corresponding target device names.

5

## BRIEF DESCRIPTION OF THE DRAWINGS

The teachings of the present invention can be readily understood by considering the following detailed description in conjunction with the accompanying drawings, in which:

FIG. 1 depicts a high-level block diagram of an information distribution system suitable for use with the present invention;

FIG. 2 depicts a high level block diagram of a controller topology suitable for use in the information distribution system of FIG. 1; and

FIG. 3 depicts a flow diagram of a method according to an embodiment of the present invention.

To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures.

## DETAILED DESCRIPTION

The subject invention will be described within the context of a process denoted as “Grinding,” in which a number of techniques are employed to produce compressed Java jar files that remain directly interpretable while achieving a significant reduction in size. In addition, all class, method and field bindings remain symbolic, thereby preserving polymorphism. The Grinding process transforms Java jars into a format known as a “ground” jar. Since a ground jar is in a different format than a Java jar, it cannot be

interpreted by a standard Java Virtual Machine (VM), but only by a virtual machine that has been “grind enabled.” If desired, however, the grind process can be reversed by an “ungrinding” process, which converts the ground Jar file into a conventional Java jar file containing conventional Java class files which may be interpreted by standard Java VM. The subject invention will be illustrated within the context of a client server environment in which a server is operative to provide video or other information to a client (e.g., a set top box) via a network (e.g., a cable, telecommunications, satellite or other network). Within the context of the present invention, the term executable is defined as the interpretation of byte code by a Java virtual machine (VM) and not execution of machine code by a central processing unit (CPU).

FIG. 1 depicts a high-level block diagram of an information distribution system suitable for use with the present invention. A client computer or set top box (STB) 104 is connected to a presentation device 102 such as a television or other audiovisual display device or component(s). The connection between client computer 104 and presentation device 102 allows client computer 104 to tune and/or provide a presentation signal (e.g., a television signal) to presentation device 102.

Client 104 is also connected to a communication system 106. In one embodiment, communication system 106 includes a telephone network and the Internet. In other embodiments, communication system 106 could include a network, the Internet without a telephone network, a dedicated communication system, a cable or satellite network, a single connection to another computer or any other means for communicating with another electronic entity. In one embodiment of the invention, the client comprises an STB such as the model DCT2000 manufactured by Motorola Corporation of Schaumburg, Illinois. For purposes of this

description, it will be assumed that the client or STB 104 comprises a device having a relatively limited amount of memory and/or processing power compared to a full featured (e.g., desktop) computer.

5           The communication system 106 is also connected to a server 108, such as a Unix or Windows server computer. The server 108 operates to process data structures such as Jar files in accordance with the invention and provide such processed Jar files to one or more clients 104 (though one client is depicted in FIG. 1, many  
10 clients may be connected to the server 108).

          The inventors contemplate that the invention may be segmented into a server function and a client function. The server function comprises, e.g., the grind method and tools for performing the grind process, which may be implemented on the server 108 to  
15 produce a ground jar file for propagation via the network 106 to the client presentation engine 104. The client function comprises, e.g., the Java virtual machine (VM) environment and general application target environment (i.e., platform) that interprets the ground Jar file to execute the application. These functions will be  
20 discussed in more detail below with respect to the figures and the tables included herein. The functions may be implemented as a method by one or more processors. The functions may be embodied as software instructions within a signal bearing medium or a computer product. Within the context of a peer to peer network,  
25 the server functions and client functions may be both be implemented on client and/or server devices.

          FIG. 2 depicts a high level block diagram of a controller topology suitable for use in the information distribution system of FIG. 1. Specifically, the controller 200 of FIG. 2 may be employed to  
30 implement relevant functions within the client 104 and/or server 108.

The controller 200 of FIG. 2 comprises a processor 230 as well as memory 240 for storing various control programs and other programs 244 and data 246. The memory 240 may also store an operating system 242 supporting the programs 244.

5       The processor 230 cooperates with conventional support circuitry such as power supplies, clock circuits, cache memory and the like as well as circuits that assist in executing the software routines stored in the memory 240. As such, it is contemplated that some of the steps discussed herein as software processes may be  
10 implemented within hardware, for example as circuitry that cooperates with the processor 230 to perform various steps. The controller 200 also contains input/output (I/O) circuitry 210 that forms an interface between the various functional elements communicating with the controller 200.

15       Although the controller 200 is depicted as a general purpose computer that is programmed to perform various control functions in accordance with the present invention, the invention can be implemented in hardware as, for example, an application specific integrated circuit (ASIC) or field programmable gate array (FPGA).  
20 As such, the process steps described herein are intended to be broadly interpreted as being equivalently performed by software, hardware or a combination thereof.

The controller 200 of FIG. 2 may be operably coupled to a number of devices or systems. For example, the I/O circuit 210 in  
25 FIG. 2 is depicted as interfacing to an input device (e.g., a keyboard, mouse, remote control and the like), a network (e.g., communications system 106), a display device (e.g., presentation device 102 or a display device associated with a server), a fixed or removable mass storage device/medium and the like.

30       In the case of controller 200 being used to implement a client or set top box, it will be assumed that the client or set top box

comprises a device having a relatively limited amount of memory and processing power compared to a full featured desktop computer, laptop computer or server (though the client or STB may be implemented using a desktop computer, laptop computer, server  
5 or other general purpose or special purpose computer).

Within the context of an embodiment of the present invention, the controller 200 implements the invention by invoking methods associated with several resident tools; denoted herein as statdb, libdb, and arcpack tools, though only the tool function is relevant.  
10 Briefly, the Statdb and libdb tools are used to produce and maintain obfuscation libraries, which are files that are used to store and track the name mappings used in the obfuscation part of grinding. The Arcpack is the tool that does the actual grinding. These tools may be stored on a removable medium (e.g., a floppy disk,  
15 removable memory device and the like).

The invention may be implemented as a computer program product wherein computer instructions, when processed by a computer, adapt the operation of the computer such that the methods and/or techniques of the present invention are invoked or  
20 otherwise provided. Instructions for invoking the inventive methods may be stored in fixed or removable media, transmitted via a data stream in a broadcast media, and/or stored within a working memory within a computing device operating according to the instructions.

25 FIG. 3 depicts a flow diagram of a method according to an embodiment of the present invention. Specifically, method 300 of FIG. 3 comprises the processing of a Java jar file to provide a ground jar file. In the embodiment of FIG. 3, the steps employed are listed in a particular sequence. However, the steps of the grind  
30 process may be invoked in various other sequences and such other sequences are contemplated by the inventors.

The Grind process of the present invention may be performed by, for example, a server or other computing device implemented using the controller topology 200 discussed above with respect to FIG. 2 or other computing topology. The Grind process 300 of FIG. 3  
 5 employs the following techniques during the transformation of a Java jar to a ground jar: (step 305) receiving a Java Jar file for processing; (step 310) invoking an archive tersing method; (step 320) invoking a class tersing method; (step 330) invoking an opcode replacement method; (step 340) invoking an unreferenced member  
 10 culling method; (step 350) invoking a string pooling method; (step 360) invoking a constant pool optimization method; and/or (step 370) invoking an obfuscation method and (step 380) providing a resulting ground Jar file. Each of these techniques will now be discussed in more detail.

15

#### (310) Archive Tersing Method

A Java jar file typically includes information that is not required in or critical to an embedded environment, including multiple copies of file names, file modification date and time  
 20 stamps, CRC's for each file, file access permissions and the like. Archive tersing transforms the archive into a much simpler format that includes only information required for a particular application. An exemplary format of a ground archive is shown below:

25

```

    GroundArchive
    {
        U32 signature
        ArchiveEntry entry1
  30      .
        .
        .
        ArchiveEntry entryN
        U16 endMarker      a constant value of 0
  
```



```

        U32 archiveCRC
    }

    ArchiveEntry
5   {
        U16 entryNameLength
        U8 name[entryNameLength]
        U32 entryDataLength
        U8 padLength
10    U8 pad[padLength]
        U8 entryData[entryDataLength]
    }

```

In the above example of a ground archive, for each of a  
 15 plurality of archive entries only the entry name, entry data, pad  
 and respective length information is included. Each of a plurality  
 of archive entries is included within a ground archive along with a  
 ground archive signature, and marker, and cyclical redundancy  
 check (CRC) field. More or fewer archive entry fields may be  
 20 employed depending upon the specific application.

In various embodiments, the information that is “required” is  
 further restricted by considering some information as simply “non-  
 critical,” such as error correction information that improves  
 application processing or user experience where the absence of  
 25 such information is deemed to reduce application function by an  
 acceptable amount. This tiered form of tersing may also be applied  
 to class tersing and other information reduction techniques  
 discussed herein.

### 30 (step 320) Class Tersing Method

Class tersing involves transforming each class file in the Java jar  
 into a format having a reduced size. Within the context of class  
 tersing, one or more of the following class file structures  
 modifications are provided: (a) removal of class attributes; (b)

changes to class field attribute structure; and (c) change to method attribute structure.

Removal of class attributes comprises the deletion from the Jar file of various class attribute information, such as SourceFile  
5 and Deprecated fields.

Changes to class field attribute structure comprises modifying the class field attribute structure, such as removing all field attributes (strip ConstantValue, Synthetic, Deprecated), omitting attribute\_name\_index, omitting bytes\_count and the like.

10 Changes to class method attribute structure comprises modifying the class method attribute structure, such as allowing only "Code" attributes (i.e., strip out Exceptions, Synthetic, Deprecated), omitting attribute\_name\_index, omitting omit bytes\_count, reducing max\_stack from 2 to 1 bytes, reducing  
15 max\_locals from 2 to 1 bytes, reducing code\_count from 4 to 2 bytes, reducing handlers\_count from 2 to 1 bytes, omitting attributes\_count, stripping all code attributes (e.g., LineNumberTable, LocalVariableTable) and the like.

If all of the above changes to the class file structures are  
20 implemented, there will be several new limits to the underlying Java code. Specifically, the maximum local variables per method is reduced to 255 from 65535, the maximum stack per method is reduced to 255 from 65535 and the maximum handlers per method is reduced to 255 from 65535. The maximum code size per method is  
25 unchanged.

It is noted by the inventors that the above limitation will not impact most Java code. For example, the Multimedia Home Platform (MHP) extension of the European Digital Video Broadcasting (DVB) standard utilizes Java runtime libraries  
30 consisting of approximately 2278 classes with 8096 fields and 18347 methods. Over this large body of code, the closest any limits were

approached were: max local variables per method 40, max stack per method 18, max handlers 30, max code size 7552.

**(step 330) Opcode Replacement Method**

5       The Java compiler converts the Java language source code into byte codes (binary representation of the low level instruction set of the Java Virtual Machine). Opcode replacement involves replacing certain byte codes generated by the compiler with more compact versions. The Java Virtual Machine instruction set was  
10 examined by the inventors for opportunities for size reduction, and a large body of compiled Java code was analyzed for instruction usage statistics.

A set of “short” byte codes was created by the inventors to exploit the opportunities for size reduction. These byte codes use  
15 the range reserved by the Java Virtual Machine Specification for the set of “quick” byte codes. This range was selected since these byte codes are never generated by a Java compiler, they are reserved for the internal use of Java virtual machines. These short byte codes achieve size reduction by reducing constant pool indices  
20 from 16 bits to 8 bits, reducing relative branch offsets from 16 bits to 8 bits, and reducing switch offsets from 32 bits to 16 bits. Exemplary Opcode Replacements are disclosed below with respect to Table 1. It will be appreciated by those skilled in the art that various modifications to the actual replacement codes may easily be  
25 made while still practicing the invention.

Name	Byte code
<b>invokevirtual_short</b>	<b>203</b>
<b>invokespecial_short</b>	<b>204</b>
<b>invokestatic_short</b>	<b>205</b>
<b>invokeinterface_short</b>	<b>206</b>
<b>getfield_short</b>	<b>207</b>
<b>putfield_short</b>	<b>208</b>

<code>getstatic_short</code>	209
<code>putstatic_short</code>	210
<code>checkcast_short</code>	211
<code>instanceof_short</code>	212
<code>anewarray_short</code>	213
<code>multianewarray_short</code>	214
<code>lookupswitch_short</code>	215
<code>tableswitch_short</code>	216
<code>goto_short</code>	217
<code>ifeq_short</code>	218
<code>ifne_short</code>	219
<code>iflt_short</code>	220
<code>ifle_short</code>	221
<code>ifnull_short</code>	222
<code>ifnonnull_short</code>	223
<code>if_icmpne_short</code>	224
<code>if_icmpge_short</code>	225
<code>if_icmplt_short</code>	226
<code>if_icmpeq_short</code>	227
<code>if_imple_short</code>	228

Table 1

**(step 340) Unreferenced Member Culling Method**

The grind process tracks references to methods and fields across the entire input jar and those methods and fields that are found to have zero references become candidates for removal. However, even though a field or method is not directly referenced within an archive, it might still be required. One example of this is with the Java runtime libraries built into an embedded device. A great many methods are unreferenced internally within the Java runtime, but they need to be available for applications to use. Unreferenced methods that are private may be removed from the Java runtime.

For an application, too, it is possible for a method to appear to be unreferenced, but actually still be required. Examples of this are application methods invoked by the Java runtime library such as the applet lifecycle methods. Also, methods that implement

interfaces may be invoked by the Java runtime. Finally, a method that overrides a superclass method may be referenced by code that treats the object as an instance of the superclass, and hence the reference will appear to be of the superclass method

5 implementation, not the subclass implementation.

In one embodiment, unreferenced static final field declarations are removed. Static final field declarations primarily exist for the benefit of the Java compiler. All references to static final fields of non-object type, or String object type are in-lined at  
10 compile time. The field declarations of these fields are not required at runtime.

In another embodiment, ConstantValue attributes from final fields are removed. The Java compiler will always generate code to initialize these fields by directly referencing the constant initializer  
15 in the constant pool. The ConstantValue attribute in the field declaration is not required at runtime.

The grind process determines which fields and methods may safely be removed and then removes them.

20 (step 350) String Pooling Method

String pooling involves creating a single table of strings for the entire jar. This eliminates the duplication of strings from class to class. String constants are illustratively stored in Java class files as Utf8 constant pool entries. The grind process determines the set  
25 of unique Utf8 strings across the jar and stores them in a single table. It then removes all Utf8 string entries from each class's constant pool, and replaces all references to the removed strings with references to the common string table. References to the strings are in the form of indexes into class constant pools, so these  
30 indexes are mapped to indexes into the common string table. Since the indices used to reference strings are 16 bits in size, this limits

the maximum number of unique strings in a ground jar to 65536. As with class tersing, this limit is not often exceeded. Using MHP as an example again, the 2278 classes contain only 13649 unique strings.

The common string table is placed in the ground jar in an entry called 'grind/Data'. This table has the following format:

```

    U32 numberOfUtfStrings
    U32 utfString1Offsets[numberOfUtfStrings]
    U16 utfString1Len
10    U8 utfString1Data[utfString1Len]
    .
    .
    .
    U16 utfStringNLen
15    U8 utfStringNData[utfStringNLen]
```

#### (step 360) Constant Pool Optimization Method

When constant pool optimization is used within the grind process, the constant pool of each class in the Java jar has one or more of the following operations performed on it: (a) Remove unreferenced entries; (b) Make entries a fixed size; and (c) Sort entries by type.

Examples of constant pool entries that may become unreferenced are the names of attributes that the grind tools strip from classes: all class level attributes, field and method attributes such as "Deprecated", "Synthetic", etc., the names of attributes that are not required when class tersing is employed: "Code", and "ConstantValue", and entries used by culled class members.

A problem with the Java class file format is that information is referenced from the class constant pool by index, but the constant pool entries are of variable size. This means that the Java Virtual Machine must allocate memory to create a table that maps constant pool indices to constant pool entries. The grind process converts constant pool entries to a fixed size thereby allowing the

virtual machine to directly access constant pool entries by index. A fixed size of 4 bytes per entry is used. This is made possible by moving all Utf8 strings from the constant pool to a common string pool, and by removing the 8 bit type field from pool entries. Thus

5 all pool entries fit in 4 bytes except for Long (64 bit integer) and Double (64 bit float) entries, which require 8 bytes, and are allowed to occupy two consecutive pool entries.

The constant pool is reordered by placing class, methodref, fieldref and interfacemethodref entries at the start of the pool so

10 that they may be referenced with 8 bit indices thus maximizing the potential for the use of short opcodes. The inventors note that it is preferable not to shift a pool entry used by an ldc opcode out of the first 256 entries. If this happens, the ldc must be changed to a ldc\_w opcode.

15 The sorted order of constant pool entries is shown below:

CONSTANT\_Class,  
 CONSTANT\_Methodref,  
 CONSTANT\_Fieldref,  
 20 CONSTANT\_InterfaceMethodref,  
 CONSTANT\_String,  
 CONSTANT\_Integer,  
 CONSTANT\_Float,  
 CONSTANT\_NameAndType,  
 25 CONSTANT\_Long,  
 CONSTANT\_Double.

Since constant pool entries no longer have a type byte, the type of an entry cannot be determined by the entry alone. To solve

30 this, the ground class header contains the following information that allows the type of a constant pool entry to be determined.

U16 tagTypeMask  
 U16 tagTypes[N-1] where N is the number of bits set in  
 35 tagTypeMask

A bit is set in `tagTypeMask` for each type of constant pool entry present in the class. The field `tagTypes` is an array with an entry for each type of constant pool entry present in the class. The value of the entry is the constant pool index of the first pool entry that is not of the particular pool entry type. The mask values are shown below:

	<code>CONSTANT_Class</code>	<code>0x8000</code>
10	<code>CONSTANT_Methodref</code>	<code>0x4000</code>
	<code>CONSTANT_Fieldref</code>	<code>0x2000</code>
	<code>CONSTANT_InterfaceMethodref</code>	<code>0x1000</code>
	<code>CONSTANT_String</code>	<code>0x0800</code>
	<code>CONSTANT_Integer</code>	<code>0x0400</code>
15	<code>CONSTANT_Float</code>	<code>0x0200</code>
	<code>CONSTANT_NameAndType</code>	<code>0x0100</code>
	<code>CONSTANT_Long</code>	<code>0x0080</code>
	<code>CONSTANT_Double</code>	<code>0x0040</code>

For example the `tagTypeMask` and `tagTypes` values shown below indicate that the constant pool contains only `Class`, `Methodref`, and `NameAndType` entries, and that entries 1-4 are type `Class`, entries 5-16 are type `MethodRef`, and entries 17 to the end of the table are type `NameAndType`.

`tagTypeMask:` `0xC100`  
`tagTypes:` `0x0005,0x0011`

#### (step 370) Obfuscation Method

The grind process performs two types of obfuscation, Application Obfuscation and Platform Obfuscation. Application obfuscation maps application defined class, field, and method names to shorter names. Platform or target environment obfuscation maps class, field, and method names for classes built into the target device (for example all the Java runtime library



classes, and vendor specific Java libraries built into a cell phone, personal digital assistant (PDA), set top box and the like). Specifically, references in applications to class, field, method names and, more generally, symbols in the target environment get mapped to the same shorter name that was used when processing the target environment. For example, if the symbol "java.lang.Runtime" becomes "#" after grinding, then in every place where "java.lang.Runtime" is found in any application the grind process will replace the reference with "#". This is the consistency rule that is maintained in order for ground applications to run correctly.

Since the Java libraries built into the device are known by the device vender but many applications may be built by many independent developers, a name mapping scheme that maintains a consistent mapping of platform or target environment names and independent mappings for application names is required.

That is, with respect to platform obfuscation, in addition to or in place of standard class, field and/or method names, a target device may have associated with it respective target environment defined or appropriate class, field and/or method names. In this manner, specific operational characteristics of a target environment may be exploited by applications adapted for use in the target environment by the invention. The target device operates to interpret/execute the ground Jar file to perform the various operations associated with the application provided therein.

The interpretation/execution of standard and target-specific byte code may be performed without decompressing (i.e., ungrinding) the ground Jar file, though such ungrinding may also be performed if sufficient memory exists in the target environment.

Application classes, fields, and non-interface methods use names illustratively generated from the following pool of

characters: 'a', 'b', 'c', 'd', 'e', 'f', 'g', 'h', 'i', 'j', 'k', 'l', 'm', 'n', 'o', 'p', 'q', 'r', 's', 't', 'u', 'v', 'w', 'x', 'y', 'z', 'A', 'B', 'C', 'D', 'E', 'F', 'G', 'H', 'I', 'J', 'K', 'L', 'M', 'N', 'O' and 'P.'

Application interface methods use names illustratively  
 5 generated from the following pool of characters: 'Q', 'R', 'S', 'T', 'U', 'V', 'W', 'X', 'Y' and 'Z.'

Platform classes, fields, and non-interface methods use names  
 generated from the following pool of characters: '!', '"', '#', '\$', '%', '&',  
 '\', '(', ')', '\*', '+', ',', '-', '/', '0', '1', '2', '3', '4', '5', '6', '7', '8', '9', ':', '<', '=', '>',  
 10 '?' and '@.'

Platform interface methods use names generated from the  
 following pool of characters: '[', '\\', ']', '^', '\_', '`', '{', '|', '}' and '~.'

Each field of each class is assigned a name mapping unique to  
 that class by enumerating through all possible names that may be  
 15 generated with the assigned character set (e.g. a, b, c, ... aa, ab, ac, ...  
 ba, bb, bc, ... ) unless the field name matches the name of a field in a  
 superclass, in which case it is given the same name as in the  
 superclass.

Each method of each non-interface class is assigned a name  
 20 mapping unique to that class by enumerating through all possible  
 names that may generated with the assigned character set, unless  
 the method name matches the name of a method in a superclass, or  
 an interface implemented by the class, or an interface implemented  
 by a superclass, in which case it is given the same name.

25 Each method of each interface class is assigned a globally  
 (among all interface methods of the appropriate type: application or  
 platform) unique name by enumerating through all possible names  
 that may be generated from the assigned interface name character  
 set. Interface methods are treated specially since a class can  
 30 implement multiple interfaces and hence no two interface methods  
 can be assigned the same name mapping.

Each class is assigned a name mapping by enumerating through all possible names that may be generated with the assigned character set. Note that the name mapping replaces the fully qualified class name (e.g. 'java/applet/Applet' could be mapped to  
5 '1').

The above rules ensure that any given name is mapped to the shortest possible obfuscated name and allows for the maximum reuse of short names. Since class names, field names, and method names are in independent name spaces, a class name could be  
10 mapped to 'a' and contain a method mapped to 'a' and a field mapped to 'a'. Further, since name mappings are only required to be unique among all other names of the same type visible from the current point in the classed inheritance hierarchy, an application could have many methods and fields with names mapped to 'a'.

Thus, in various embodiments of the invention, the target  
15 environment obfuscation method provides that symbols (i.e., interfaces, classes, fields, and/or methods and the like) in the target environment are replaced with shorter names. Similarly, the application obfuscation method provides that symbols (i.e.,  
20 interfaces, classes, fields, and/or methods and the like) in applications are replaced with shorter names that do not overlap the names used for target environment obfuscation.

In one embodiment of the invention, an alternative mapping scheme is employed in which the above-described mapping for  
25 private symbols is used while a universal mapping for each namespace (class, field, method, interface method) for public symbols is used. For example, under a universal mapping regime, a public method named 'myMethod' is mapped to the same obfuscated name regardless of its position in an inheritance hierarchy in which  
30 it is defined. In other embodiment of the invention, various other combinations of private and universal mapping are employed

depending on, for example, a desired level of security (e.g., reduce reverse engineering and the like), a desired level of obfuscation related compression and the like.

#### 5 (step 370) Ground Class Format

In the exemplary embodiment, each ground class has the following format:

```

Grind2Class
{
10   U32 signature
      U16 version
      U16 tagTypeMask
      U16 tagTypes[N-1] where N is number of bits set in
tagTypeMask
15   U16 constantPoolCount
      U32 constantPool[constantPoolCount-1]
      U16 accessFlags
      U16 thisClassIndex
      U16 superClassIndex
20   U16 interfaceCount
      U16 interfaces[interfaceCount]
      U16 fieldCount
      FieldInfo fields[fieldCount]
      U16 methodCount
25   MethodInfo methods[methodCount]
}

FieldInfo
{
30   U16 accessFlags
      U16 nameIndex
      U16 typeIndex
}

35   MethodInfo
{
      U16 accessFlags
      U16 nameIndex
      U16 typeIndex
40   U8  maxStack
      U8  maxLocals
      U16 codeLength
      U8  code[codeLength]

```

```

        U8 handlerCount
        HandlerInfo handlers[handlerCount]
    }

5    HandlerInfo
    {
        U16 startPC
        U16 endPC
        U16 handlerPC
10    U16 catchTypeIndex
    }

```

### Implementation Tools

The implementation of the grind process involves, illustratively, three core tools: statdb, libdb, and arcpack. Statdb and libdb are used to produce and maintain obfuscation libraries, which are files that are used to store and track the name mappings used in the obfuscation part of grinding. Arcpack is the tool that does the actual grinding.

To grind an application for a particular Java environment it is necessary to provide an obfuscation library for the target environment as well as the Java jar of the application. The invention is applicable to various bytecode interpretation environments such as the Liberate TV-Navigator middleware provided by Liberate Technologies, Inc. of San Carlos, CA.

The obfuscation library for the target environment is created using the statdb tool. Statdb analyzes all of the Java code supplied by the target environment and sample applications that will be run on the target, and generates an optimal name mapping scheme for obfuscation. This scheme is stored in the target platform or target environment obfuscation library. For Liberate's TV Navigator, this library is called Classes.lib. Once generated, the target platform or target environment obfuscation library is incrementally updated using libdb as the target platform or target environment is modified. This allows a backwards compatible name mapping to be

maintained as the target platform or target environment Java runtime evolves over time.

The statdb and libdb tools perform frequency analysis on the symbols found in applications and the target environment. The  
5 resulting statistics are used in the obfuscation phase to assign the shortest names to the most frequently referenced symbols which has the result in increasing the efficiency of the obfuscator. In this manner, the most frequently referenced symbols (interfaces, classes, methods, and fields) will be assigned the shortest  
10 obfuscated names. Statistics gathering may be performed when the initial platform grind library is created and/or during the grind process.

An additional tool denoted as trcfmt (short for "trace format") can also be used to unobfuscate symbols. This is useful because the  
15 VM will trace or display call-stack information using the obfuscated symbols. These symbols are, by definition, useless to the programmer because they bear no resemblance to the original names used in their program. The trcfmt tool takes the call-stack or other VM output as its input along with one or more obfuscation  
20 libraries (generated during the grind process) and provides as its output the call-stack (among other items) using the original application and platform names.

The target environment or platform may comprise, for example, a resource constrained device such as a cell phone,  
25 personal digital assistant (PDA), set top box and the like. The Java libraries built into the device are known by the device vendor. A name mapping scheme maintains a consistent mapping of platform names and independent mappings for application names such that the grinding of a Jar file provides the appropriate naming of class,  
30 field, method names and the like. In this manner, efficient target device interpretation of the ground Jar application is enabled. In

various embodiments of the invention, "standard" classes, fields, methods and the like are preferentially replaced by target device specific classes, fields, methods and the like such that optimizations adapted for the target environment are made during the grinding process. The target device receives a ground Jar file and iteratively resolves application defined and target environment defined class, field and method names to interpret thereby application byte codes presented within a ground Jar file. In this manner, the application is executed by the target device.

10       The target environment includes an interpretation engine that recognizes and interprets ground Java bytecode read from ground classes in the ground jar file. The target environment is implemented in a manner allowing it to parse the ground class file and jar formats (such as noted above with respect to step 370). The target environment is capable of interpreting the ground jar file because only the unnecessary portions were removed leaving the essential portions intact. The target environment uses the obfuscated symbols directly (rather than attempting to convert them back to their original names). This is possible because the grind process ensures that applications use the same symbol name mapping (from original name to obfuscated name) for all target environment symbols." For example, as discussed above with respect to step ??, the symbol "java.lang.Runtime" becomes "#" after grinding such that every reference to "java.lang.Runtime" in the application is replaced with a reference to "#".

25       The ungrind process is the process that is used to reverse the grind process. That is, the entire grind process is reversible such that ground jar files can be reverted back into conventional Java class files with unobfuscated names. In one embodiment, the ungrind process comprises the following steps: (1) Using the obfuscation library to map from obfuscated name back to the

original names (both for application and target environment symbols); (2) Padding out the truncated class file fields to their original size; (3) Inserting default values for any fields that were removed; (4) Reinserting strings from the string pool back into the  
5 appropriate class files; and (5) Restoring the class constants by reinserting their type information. The ungrinding process makes it possible for ground jars to be interpreted correctly on standard (non-grind enabled) Java VM environments.

While the foregoing is directed to certain embodiments of the  
10 present invention, these embodiments are meant to be illustrative, not limiting. Other and further embodiments of the invention may be devised without departing from the basic scope thereof, and the scope thereof is determined by the claims that follow.